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On the art of giving the same name to different things

SUMS 2023: Math and Language

...la Mathématique est l'art de donner le même nom à
des choses différentes.

...mathematics is the art of giving the same name
to different things.



— Henri Poincaré
“L'avenir des mathématiques”
Science et Méthode
Flammarion, Paris, 1908.

Plan



Equality

=

Isomorphism

\cong

Equivalence

\simeq

Identification

=



1

Equality
=

The traditional view of equality



Reflexivity:
anything is equal to itself.

$$\forall x, x = x$$

Indiscernibility of Identicals:
if two things are equal, then they have exactly the same properties.

$$\forall x, y, (x = y) \rightarrow (\forall P, P(x) \leftrightarrow P(y))$$

Symmetry and Transitivity



Using

- **reflexivity**: anything is equal to itself; and
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one can deduce:

Symmetry and Transitivity



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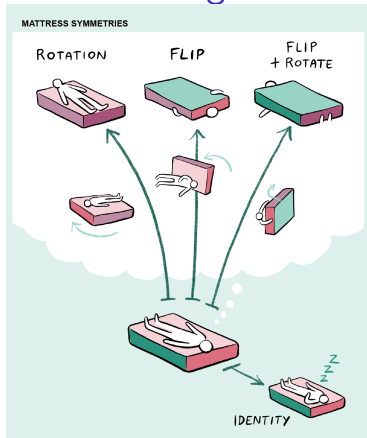
Different things that deserve the same name



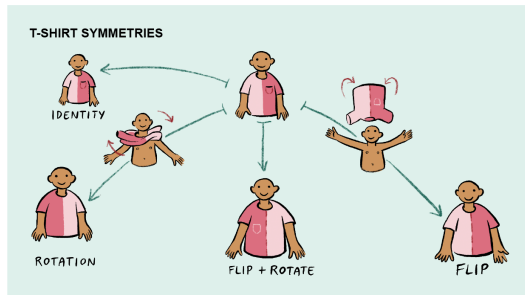
Different things that deserve the same name



Different things that deserve the same name



images by Matteo Farinella





2

Isomorphism
 \cong

Isomorphic = same + shape



Some different things deserve the same name because they have the “same shape.”

ίσος “equal” + *μορφή* “shape”

We seek a unifying language to describe what it means for things to have the “same shape” no matter what kind of objects they are.

Category



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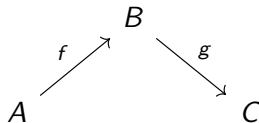
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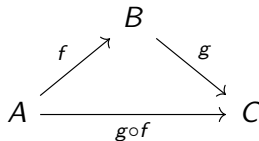
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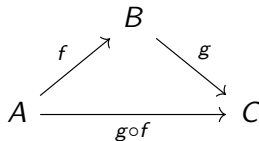
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so that

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- and each object has an **reflexivity arrow** $A \xrightarrow{\text{refl}_A} A$

for which the composition operation is **associative** and **unital**.

Isomorphism in a category



A **category** has

- **objects:** $A, B, C \dots$ and
- **arrows:** $A \xrightarrow{f} B, B \xrightarrow{g} C$.

Objects A and B in a category are **isomorphic**

if there exist arrows $f: A \rightarrow B$ and $g: B \rightarrow A$

so that $g \circ f = \text{refl}_A$ and $f \circ g = \text{refl}_B$.

$$A \cong B$$

Categorifying arithmetic



Why is $2 \times (3 + 4) = (2 \times 3) + (2 \times 4)$?

Categorifying arithmetic



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What even are 2, 3, and 4 ?

Categorifying arithmetic



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What even are 2, 3, and 4 ?

$$A = \{ * \quad \star \} , \quad B = \left\{ \begin{array}{c} \sharp \\ b \\ \natural \end{array} \right\} , \quad C = \left\{ \begin{array}{cc} \spadesuit & \heartsuit \\ \diamondsuit & \clubsuit \end{array} \right\}$$

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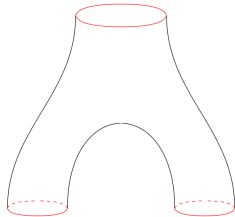
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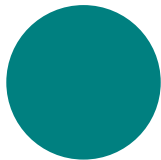
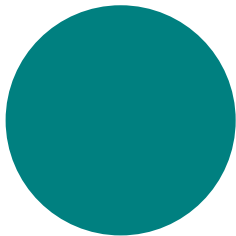
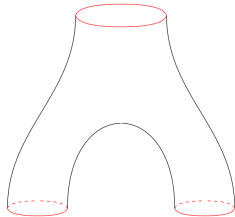
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\parallel
 $(A \times B) + (A \times C)$

Different things that deserve the same name



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Different things that deserve the same name



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— and very redundant.

The category of natural numbers and their symmetries contains the same information, much more efficiently packaged.

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There are two standard approaches to linear algebra:

- using matrices of arbitrary dimension
- using linear transformations between vector spaces

and the general theory can be developed from either perspective.



3

Equivalence
 \approx

Equivalence = equal + worth



A 2-category has

- objects: $A, B, C \dots$
- 1-arrows: $A \xrightarrow{f} B, B \xrightarrow{h} C$ and
- 2-arrows: $A \begin{array}{c} \xrightarrow{f} \\ \Downarrow \alpha \\ \xrightarrow{k} \end{array} B$

Objects A and B in a 2-category are equivalent

if there exist 1-arrows $f: A \rightarrow B$ and $g: B \rightarrow A$

and 2-arrows $A \begin{array}{c} \xrightarrow{g \circ f} \\ \Downarrow \alpha \\ \xrightarrow{\text{refl}_A} \end{array} A$ and $B \begin{array}{c} \xrightarrow{f \circ g} \\ \Downarrow \beta \\ \xrightarrow{\text{refl}_B} \end{array} B$

so that $\alpha: g \circ f \cong \text{refl}_A$ and $\beta: f \circ g \cong \text{refl}_B$.

$$A \simeq B$$

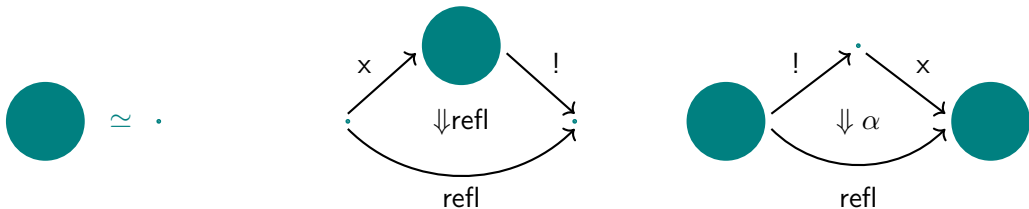
A contracting homotopy equivalence

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Problems



- This doesn't stop here! The best notion of sameness for **2-categories** isn't **equivalence** in the sense just defined but in a weaker sense that requires a **3-category**. But then **3-categories** are equivalent in a sense defined using a **4-category**, and so on ...
- Higher category theory no longer provides a single meaning of when one thing is the same as another thing but rather a hierarchy of different meanings depending on how complex the objects are, as governed by what sort of categories they belong to.
- Most seriously, **indiscernibility of identicals** fails for objects that are **isomorphic** or **equivalent** but not **equal**!

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Q: Is 3 an element of 17?

For the von Neumann naturals yes, but for the Zermelo naturals no!
— Paul Benacerraf “What numbers could not be”



4

Identification
=

Identity Types



In **type theory** mathematical sentences take the form of **types** A , B , C .
A **term** $x : A$ in a type then provides a **proof** of the encoded statement.

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Identity types are governed by the following rules:

- For any type A and terms $x, y : A$, there is a type $x =_A y$.
- For any type A and term $x : A$, there is a term $\text{refl}_x : x =_A x$.
- For any type $P(x, y, p)$ defined using terms $x, y : A$ and $p : x =_A y$,
 - if there is a term $d(x) : P(x, x, \text{refl}_x)$ for all $x : A$,
 - then there is a term $J_d(x, y, p) : P(x, y, p)$ for all $x, y : A$, $p : x =_A y$.

No nonsense: it's only meaningful to identify things in a common type.

Reflexivity: anything is identifiable with itself.

Indiscernibility of Identicals: if two things are equal,
then they have exactly the same properties.

Univalence



The **univalence axiom** relates the identity types in the **universe of all types** \mathcal{U} to **equivalences** between types.

“Identity is equivalent to equivalence.”

$$\text{univalence} : (A =_{\mathcal{U}} B) \simeq (A \simeq_{\mathcal{U}} B)$$

“When I decided to check something in the Russian translation of the Boardman and Vogt book *Homotopy Invariant Algebraic Structures on Topological Spaces* I discovered that in this book the term ‘faithful functor’ was translated as ‘univalent functor.’

унивалентный функтор

Since I have tried to read this book in my youth many times there was probably another meaning associated in my mind with the word ‘**univalent**’ — ‘**faithful**’.

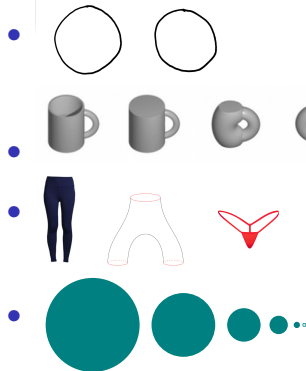
Indeed these foundations seem to be faithful to the way in which I think about mathematical objects in my head.”

— Vladimir Voevodsky, “Univalent Foundations — new type-theoretic foundations of mathematics,” Talk at IHP, Paris on April 22, 2014

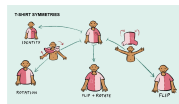
Consequences of Univalence



The things that deserve the same name:



- $2 \times (3 + 4)$ and $(2 \times 3) + (2 \times 4)$



- the categories of finite sets and of natural numbers
- abstract and concrete linear algebra

are **terms** belonging to a common **type**.

As a consequence of the **univalence axiom**:

identifications — that is, proofs of **identity** —
recover exactly the notions of sameness previously introduced.

Hierarchies of complexity of identifications



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- \vdots \vdots
- A type is an **n -type** if its identity types are **$n - 1$ -types**.

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By univalence:

\mathbb{N} is a set, so $2 \times (3 + 4) = (2 \times 3) + (2 \times 4)$ is a proposition.

Group is a 1-type, so $K_4 = K_4$ is a set.

1-Cat is a 2-type, so $\mathbf{Vect} = \mathbf{Mat}$ is a 1-type.

Conclusions

Equality \rightsquigarrow Isomorphism \rightsquigarrow Equivalence \rightsquigarrow Identification

- While the traditional notion of **equality** is too narrow, its defining principles are worth preserving.
- While the categorical notions of **isomorphism** and **equivalence** identify objects that have the “same shape” or have “equal worth,” they require increasingly higher-dimensional data as the objects become more complex.
- The type theoretic concept of **identification** is specified by rules that demand:
 - **no nonsense**: it's only meaningful to identify things of the same type,
 - **reflexivity**: everything is identified with itself, and
 - **indiscernibility of identicals**: if two things are identifiable, they have exactly the same properties.
- In the presence of the **univalence axiom**, identifications specialize to the “correct” notions of sameness for objects of each type.

Thank you!