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The mathematical multiverse: the case against a unified mathematical reality

Investigating Reality: A Philosophical, Mathematical, and Scientific Exploration

My platonist view of mathematical reality



My structuralist view of mathematical reality



This is not to say that I believe in an “ideal” referent each mathematical concept.

Consider the following constructions of the **natural numbers**:

- **Zermelo**: $\mathbb{N}_Z := \{0 := \{\}, 1 := \{\{\}\}, 2 := \{\{\{\}\}\}, 3 := \{\{\{\{\}\}\}\}, \dots\}$
- **Von Neumann**: $\mathbb{N}_{vN} := \{0 := \{\}, 1 := \{\{\}\}, 2 := \{\{\}, \{\{\}\}\}, 3 := \{\{\}, \{\{\}\}, \{\{\}, \{\{\}\}\}\}, \dots\}$

Dedekind's Categoricity Theorem All triples given by a set \mathbb{N} , an element $0 \in \mathbb{N}$, and a function $\text{succ} : \mathbb{N} \rightarrow \mathbb{N}$ satisfying **Peano's postulates** are **isomorphic**.

- 0 is not the **successor** of any natural number.
- No two natural numbers have the same **successor**.
- Any set that contains 0 , and also contains the **successor** of every natural numbers that it contains, contains all of the natural numbers.

Consequently, all number-theoretic properties of \mathbb{N}_{vN} hold for \mathbb{N}_Z and vice versa.

Q: Is 3 an element of 17? — Paul Benacerraf “What numbers could not be”

Creation vs discovery

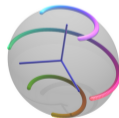
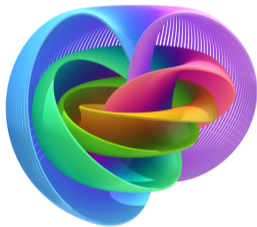


Q: Is mathematics created or discovered?

— Yes, to both!

To illustrate, consider the question:

“How many continuous functions are there from the m -dimensional sphere to the n -dimensional sphere?”
(up to continuous deformation)



In 1953, Serre **discovered** that for the vast majority of dimensions this count is finite. Algebraic topologists then **created** chromatic homotopy theory to **discover** properties about these functions in the dimensions where the full count is still unknown.



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A unified mathematical reality?

A unified mathematical reality?



A unified mathematical reality was established with the adoption of **first-order logic** and Zermelo-Frankel **set theory** with choice as the **implicit foundation** of mathematics. Here

- **first-order logic** gives precise meaning to “well-formed mathematical statements” and “valid mathematical proofs,” while
- **set theory** provides a framework within which mathematical objects, such as the **natural numbers**, can be constructed.

These foundations are only implicit, because mathematicians frequently earn PhDs despite knowing very little about this formal system (**as I did, for instance**).

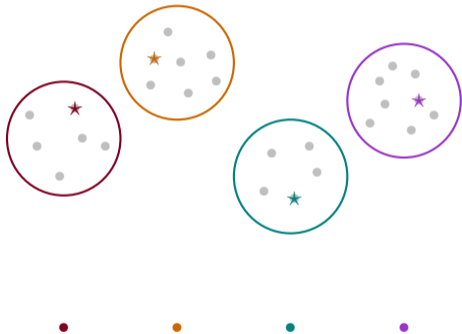
Q: Are these foundations universal?

— No, for two orthogonal reasons.

- Certain mathematical questions cannot be resolved without **additional axioms**, e.g., assuming the existence of particular “large” sets.
- The axioms of first order logic and set theory with choice are **not universally true!**

The axiom of choice

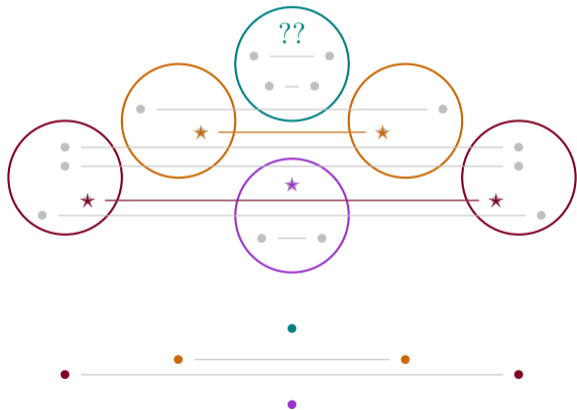
Axiom of Choice: From any family of non-empty sets it is possible to simultaneously choose an element from each set in the family.



“The Axiom of Choice is obviously true, the well-ordering principle obviously false, and who can tell about Zorn’s lemma?” — Jerry Bona

Mirrored sets

The axiom of choice is false for **mirrored sets** — sets with a reflection — because it may be impossible to make a choice that is compatible with the reflection.¹



¹While this demonstrates that mirrored sets do not satisfy the **external** axiom of choice, as a boolean topos, they do satisfy the **internal** axiom of choice.

Choice about choice



Q: So should we deny the axiom of choice, since there are mathematical universes in which it does not hold? —No, not universally!

Without the axiom of choice, the universe of sets behaves very strangely ...

- vector spaces might not have a basis (matrices may not suffice for linear algebra)
- the “dimension” of a vector space may not be well-defined
- the continuum might admit a partition into more components than it has points

Mathematics is at its most powerful when it's possible to exercise **choice about choice**, adopting it in certain domains while rejecting it in others.



2

The mathematical multiverse

The mathematical multiverse



An alternate perspective on foundations is provided by **internal logics**, which provide domain-specific formal systems for particular mathematical “realities.”

- classical first order logic
- linear logic
- coherent or geometric logic
- extensional dependent type theory
- homotopy type theory/univalent foundations
- modal logics

There is no unified notion of “proof” in the mathematical multiverse: the “well-formed mathematical statements,” “valid mathematical proofs,” and “constructible objects” will all likely differ.

- The “same” proof in different “realities” may prove different statements after translation.
- Proof techniques may only apply **locally** to particular mathematical “realities.”
E.g.: “by **path induction**, since equality is reflexive it is also symmetric” is a complete proof in homotopy type theory, but meaningless in other settings.

Exploring the mathematical multiverse



A new and not yet widely practiced method of developing and communicating rigorous mathematical proofs, using a computer program called a **computer proof assistant**, could help mathematicians learn the rules of a particular mathematical universe — and may one day automate translations between them.

- The mathematician inputs each line of their proof in a precise syntax.
- The computer verifies the logical reasoning produces a valid deduction of the claimed mathematical statement.
- Depending on the sophistication of the computer program, it might also “assist” the mathematician in various ways:
 - By catching errors of reasoning (unjustified assumptions, missing cases, etc).
 - By keeping track of where they are in a complicated logical argument.
 - By suggesting or even automatically generating proofs (“auto-formalization”).

Computer formalization of mathematics



Formalized mathematics, in tandem with other forms of computerized mathematics², provides better management of mathematical knowledge, an opportunity to carry out ever more complex and larger projects, and hitherto unseen levels of precision.

— Andrej Bauer, “The dawn of formalized mathematics,”
delivered at the 8th European Congress of Mathematics

Recent successes include:

- the **Kepler conjecture**, resolving a 1611 conjecture, 2003–2014, **HOL LIGHT**
- the **Feit-Thompson Odd Order Theorem**, a foundational result in the classification of finite simple groups, 2006–2012, **Coq**
- the **liquid tensor experiment**, formalizing condensed mathematics, 2020–2022, **LEAN**
- the **Brunerie number**, computing $\pi_4 S^3 \cong \mathbb{Z}/2\mathbb{Z}$, 2015–2022, **CUBICAL AGDA**

²Jacques Carette, William M. Farmer, Michael Kohlhase, and Florian Rabe. Big math and the one-brain barrier — the tetrapod model of mathematical knowledge. *Mathematical Intelligencer*, 43(1):78–87, 2021.

Could ∞ -category theory be taught to undergraduates?



As far as we know, there are **no existing formalizations of ∞ -category theory** in any proof assistant library such as **LEAN-MATHLIB**, **AGDA-UNIMATH**, **COQ-HOTT**...

Why not?

Could ∞ -Category Theory Be Taught to Undergraduates?

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1. The Algebra of Paths
It is natural to probe a naturally color topological space by the means of its paths, the continuous functions from the standard unit interval $I = [0, 1] \subset \mathbb{R}$ to X . But what structure do the paths to X have?
To start, the paths form the edges of a directed graph whose vertices are the points of X : a path $p: I \rightarrow X$ defines an arrow from the point $p(0)$ to the point $p(1)$, likewise...

this graph is reflexive, with the constant path c_x at each point $x \in X$. Adding a distinguished conclusion...

Can this reflexive directed graph be given the structure of a category? To do so, it is natural to define the composition of a path p from x to y and a path q from y to z by gluing together these continuous maps—i.e., by concatenating the paths—and then by representing via the homeomorphism $I \cong I \sqcup_{\text{mid}} I$ that traverses each path at double speed.

$$I \xrightarrow{\text{mid}} I \sqcup_{\text{mid}} I \xrightarrow{\text{mid}} I \quad (1-1)$$

But the concatenation operation \circ fails to be associative or well-defined. In general, given a path p from x to y to

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MkDocs documentation | Haddock documentation | Build with GHCJS and Deploy to GitHub Pages | passing

An experimental proof assistant for synthetic ∞ -categories.

```
def simp3 (x1 x2 x3 : Type) : Type :=
  { x1
  | x2
  | x3
  | s1 : x1 → x2
  | s2 : x2 → x3
  | s3 : x1 → x3
  | t1 : s1 ∘ s2 = s3
  }

def simp4 (x1 x2 x3 x4 : Type) : Type :=
  { x1
  | x2
  | x3
  | x4
  | s1 : x1 → x2
  | s2 : x2 → x3
  | s3 : x3 → x4
  | s4 : x1 → x4
  | t1 : s1 ∘ s2 = s3
  | t2 : s3 ∘ s4 = s4
  | t3 : s1 ∘ s3 = s4
  }
```

FORGOTTER (CTRL + ENTER)
RETRYING (R OR)

I'd argue that the traditional foundations of mathematics are not really suitable for "higher mathematics" such as ∞ -category theory, where the basic objects are built out of higher-dimensional types instead of mere sets.

References

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